



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/653,163	09/01/2000	Hiroshi Mikitani	KAK-001

23353
RADER FISHMAN & GRAUER PLLC
LION BUILDING
1233 20TH STREET N.W., SUITE 501
WASHINGTON, DC 20036



FORMALITIES LETTER



OC000000005506120

Date Mailed: 10/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

M. Middleton
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/11/2000 SDENBOB1 00000010 180013 09653163

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In re patent application of
MIKITANI et al.

Serial No. 09/653,163

Filed: September 1, 2000

Title: LOTTERY SYSTEM UTILIZING ELECTRONIC MAIL



Attorney Docket No. KAK-001

Group Art Unit: 2151

Examiner: unassigned

TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Enclosed are:

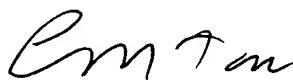
- ☒ PTO-1533
- ☒ An assignment of the invention to Rakuten Inc.
- ☒ Return receipt of postcard.
- ☒ Charge \$65.00 Deposit Account No. 18-0013 to cover the surcharge fees. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.
- ☒ Original of Japanese priority application(s).
- ☐ Charge \$ to Deposit Account No. 18-0013 to cover the recordal fee. A duplicate copy of this sheet is enclosed.

☒ Applicant's undersigned attorney may be reached by telephone in our Washington D.C. Office at

(202) 955-3750.

All correspondence should be directed to our below listed address.

Date: December 8, 2000



Christopher M. Tanner
Reg. No. 41,518

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Customer Number 23353

Attorney Docket No. KAK-001

In re patent application of
MIKITANI et al.

Serial No. 09/653,163

Filed: September 1, 2000

Title: LOTTERY SYSTEM UTILIZING ELECTRONIC MAIL



Group Art Unit: 2151

Examiner: unassigned

#3

**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed October 26, 2000 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the serial number and filing date of the patent application identified above. Please charge Deposit Account No. 18-0013 in the amount of \$65.00 in payment of the surcharge for a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Date: December 8, 2000

Christopher M. Tanner
Reg. No. 41,518

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